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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
EASTERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

PETER H. POCKLINGTON,
LANTSON E. ELDRED, TERRENCE
J. WALTON, YOLANDA C.
VELAZQUEZ a/k/a LANA
VELAZQUEZ a/k/a LANA PULEO,
VANESSA PULEO, ROBERT A.
VANETTEN, NOVA OCULUS
PARTNERS, LLC, f/k/a THE EYE
MACHINE, LLC, and AMC
HOLDINGS, LLC,

Defendants.

EVA S. POCKLINGTON, DTR
HOLDINGS, LLC, COBRA
CHEMICAL, LLC, and GOLD STAR
RESOURCES, LLC,

Relief Defendants.

Case No. 5:18-cv-00701-JGB-SP

**FINAL JUDGMENT AS TO
DEFENDANT VANESSA PULEO**

1 **FINAL JUDGMENT AS TO DEFENDANT VANESSA PULEO**

2 The Securities and Exchange Commission having filed a Complaint and Defendant Vanessa
3 Puleo (“Defendant”) having entered a general appearance; consented to the Court’s jurisdiction over
4 Defendant and the subject matter of this action; consented to entry of this Final Judgment without
5 admitting or denying the allegations of the Complaint (except as to jurisdiction and except as
6 otherwise provided herein in paragraph IV.); waived findings of fact and conclusions of law; and
7 waived any right to appeal from this Final Judgment:
8

9 I.

10 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is
11 permanently restrained and enjoined from violating Section 5 of the Securities Act of 1933
12 (“Securities Act”) [15 U.S.C. § 77e] by, directly or indirectly, in the absence of any applicable
13 exemption:
14

- 15 (a) Unless a registration statement is in effect as to a security, making use of any means
16 or instruments of transportation or communication in interstate commerce or of the
17 mails to sell such security through the use or medium of any prospectus or otherwise;
- 18 (b) Unless a registration statement is in effect as to a security, carrying or causing to be
19 carried through the mails or in interstate commerce, by any means or instruments of
20 transportation, any such security for the purpose of sale or for delivery after sale; or
- 21 (c) Making use of any means or instruments of transportation or communication in
22 interstate commerce or of the mails to offer to sell or offer to buy through the use or
23 medium of any prospectus or otherwise any security, unless a registration statement
24 has been filed with the Commission as to such security, or while the registration
25 statement is the subject of a refusal order or stop order or (prior to the effective date
26 of the registration statement) any public proceeding or examination under Section 8
27 of the Securities Act [15 U.S.C. § 77h].
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1 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal
2 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive
3 actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's officers,
4 agents, servants, employees, and attorneys; and (b) other persons in active concert or participation
5 with Defendant or with anyone described in (a).
6

7 II.

8 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is
9 permanently restrained and enjoined from violating, directly or indirectly, Section 15(a) of the
10 Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78o(a)] by making use of the
11 mails or any means or instrumentality of interstate commerce to effect any transactions in, or to
12 induce or attempt to induce the purchase or sale of, any security, without being registered as a
13 broker and/or dealer pursuant to Section 15(b) of the Exchange Act [15 U.S.C. § 78o(b)] or while
14 Defendants are not associated with an entity registered with the Commission as a broker or dealer.
15

16 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal
17 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive
18 actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's officers,
19 agents, servants, employees, and attorneys; and (b) other persons in active concert or participation
20 with Defendant or with anyone described in (a).
21

22 III.

23 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is
24 jointly and severally liable with Co-Defendant Yolanda C. Velazquez for disgorgement of
25 \$4,733,025.00, representing the amount of money Defendant raised from investors as a result of the
26 conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of
27 \$808,770.57, and a civil penalty in the amount of \$500,000 pursuant to Section 20(d) of the
28

1 Securities Act [15 U.S.C. § 77t(d)] and Section 21(d)(3) of the Exchange Act [15 U.S.C. §
2 78u(d)(3)]. Defendant shall satisfy this obligation within 14 days after entry of this Final Judgment.

3 Defendant may transmit payment electronically to the Commission, which will provide
4 detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from
5 a bank account via Pay.gov through the SEC website at

6 <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check,
7 bank cashier's check, or United States postal money order payable to the Securities and Exchange
8 Commission, which shall be delivered or mailed to

9
10 Enterprise Services Center
11 Accounts Receivable Branch
12 6500 South MacArthur Boulevard
Oklahoma City, OK 73169

13 and shall be accompanied by a letter identifying the case title, civil action number, and name of this
14 Court; Vanessa Puleo as a defendant in this action; and specifying that payment is made pursuant to
15 this Final Judgment.

16 Defendant shall simultaneously transmit photocopies of evidence of payment and case
17 identifying information to the Commission's counsel in this action. By making this payment,
18 Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of
19 the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to
20 this Final Judgment to the United States Treasury.

21
22 The Commission may enforce the Court's judgment for disgorgement and prejudgment
23 interest by moving for civil contempt (and/or through other collection procedures authorized by
24 law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post
25 judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.
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1 IV.

2 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of
3 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the
4 allegations in the complaint are true and admitted by Defendant, and further, any debt for
5 disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this
6 Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered
7 in connection with this proceeding, is a debt for the violation by Defendant of the federal securities
8 laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the
9 Bankruptcy Code, 11 U.S.C. §523(a)(19).
10

11 V.

12 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain
13 jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.
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15 VI.

16 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil
17 Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.
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19 Dated: January 9, 2019

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21 UNITED STATES DISTRICT JUDGE
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